Invitation of

Expression of Interest (EOI)

For Empanelment of Agencies to implement Training and Capacity Building Program.

UP State Agro Industrial Corporation Limited (UPSAICL)

22, UP STATE AGRO INDUSTRIAL CORPORATION LIMITED., VIDHAN SABHA MARG, VIDHAN SABHA MARG, Lucknow, Uttar Pradesh, 226001

Table of Contents

8.	Submission Guidelines and Important Dates13
7.	General Terms and Conditions9-12
	7.5 Other Factors in Work Award
	7.4 Negotiation and Award of Work
	7.3 Margin Limits for Award Consideration
	7.2 Ranking of Financial Bids (L1, L2, L3)
	7.1 Submission of Financial Proposals
6.	Financial Evaluation
	5.1 Qualification and Marking Threshold
5.	Evaluation Criteria
4.	Eligibility and Essential Qualification Criteria 5-6
3.	Introduction & Scope of Work
2.	EOI Notice
1.	Invitation of Expression of Interest (EOI)

EOI Notice

- 1. UP State Agro Industrial Corporation Limited (UPSAICL) invites proposals for the "Empanelment of Agencies/Societies/NGOs for Training and Capacity Building in Agri & Farmer's" through this EOI.
- 2. This EOI is being issued by UPSAICL for empanelment purposes.
- **3.** The EOI document lists the requirements, terms, and submission process for prospective agencies to be considered for empanelment.
- 4. This EOI is only for empanelment based on technical qualifications; no financial proposal is required at this stage.
- **5.** allocation of work will be done by the UPSAICL post Empanelment.
- **6.** Last date of submission: 08 October 2025 12.00 Hrs. to 31 October 2025, 15:00 Hrs.
- 7. Applications must be submitted in the prescribed Annexure formats, with all supporting documents.

3. INTRODUCTION:

Uttar Pradesh State Agro Industrial Corporation Limited (UPSAICL), a government-owned corporation established in 1967 to promote agro-industrial development and allied rural activities in Uttar Pradesh, invites Expressions of Interest (EOIs) from qualified Agencies, Societies, and NGOs. The purpose is to empanel experienced and credible organizations for implementation support including training, capacity building, community mobilization, and allied rural development programs under UPSAICL and associated government schemes.

SCOPE OF WORKS & DELIVERABLES

Prospective empanelled agencies will be required to undertake the following tasks for training and capacity building related to Agri and allied sectors for farmers of Uttar Pradesh:

- Design & upgrade training material audio, video, and print (in local languages).
- Organize and conduct grassroots-level training sessions (1 day duration, min. 5-6 hours/day)
- Mobilization of participant
- Deliver participatory, practical, and outcome-based training (including group activities, quizzes, documentation of best practices etc.).
- Provide all training materials and session handouts.
- Arrange venues, audio-visual equipment, stationary kits, and refreshments.
- Ensure one Agency representative is present at each training and coordinate with district/block/GP officials.
- Collect, document, and share participant data, including geo-tagged photos, attendance, and feedback.
- Prepare regular reports and maintain a digital and physical record of deliverables.
- Collaborate and revise content based on feedback, submitting all session data to the designated portal/MIS.

4. Eligibility and Essential Qualification Criteria

Sr. No.	Essential Criteria	Required Supporting Documents
1	The applicant Agency must be registered as a Trust/Society/Agency before 31.03.2022	Registration Certificate/Trust Deed
2	Should not have any pending litigation or be blacklisted/debarred by any State/Central Govt. agency	Notarized Self-Declaration (Annexure)
3	Minimum consolidated turnover of INR 05 Crore in the last three FYs (2022-23, 2023-24, 2024-25)	Audited Balance Sheet/CA Certificate with UDIN
4	Successfully completed at least one similar project of capacity building/training (for Central/State Govt. in last 3 years	Work Order/Completion Certificate
5	Valid GST, PAN, (and, if applicable, 12A/80G/NGO Darpan for Societies/Trusts/NGOs)	Attach relevant certificates
6	Manpower: Must have at least one expert in Social Sector, IT and minimum 20 trainers with relevant degree & 3 years sectoral experience	CVs
7	Notarized Affidavit confirming non-blacklisting by any State/Central Govt.	As per format (Annexure)

4.1 Professionals Required

• Experts:

- Social Sector Expert (Postgraduate + 5 years' sector exp., 2 in Training & Capacity Building Program)
- Subject Matter Expert
- IT Expert (PGDCA or equivalent)

4.2 Trainers:

• At least 20 resource persons (Graduate/Postgraduate, 3+ years' experience)

4.3 Desirable qualifications

In addition to the above essential qualifications following desirable qualifications shall have an added advantage in scoring.

- a. Applicant Agency shall have experience of working in Uttar Pradesh in the field Training & Capacity Building/Resource management.
- b. Applicant Agency which has experience of working in multiple states in the similar domain. c. Applicant agencies having experience in Training through community engagement.

In support of the above, applicant agencies shall mandatorily attach Contract Agreement/Completion Certificate from the concerned work assigning Agency/Department.

5. EVALUATION CRITERIA

Sr. No.	Criteria	Supporting Document
1.	Consolidated Turnover of the three financial years i.e. FY 2022-23, 23-24 and 24-25. (Maximum Marks: 5)	Audited Balance Sheet & Profit & Loss / Income Expenditure Account.
	Rs 05 to Rs 10 crores: 3 marks out of 5 More than Rs 10 crores: 5 marks out of 5	CA Certificate stating the above figures with UDIN
2.	Specific Turn over in training/capacity building. (Maximum Marks 10.) Upto Rs 3 crores: 2 marks More than Rs 3 crore to Rs 4 crores: 5 marks, more than Rs 4 crores full marks	Audited Balance Sheet & Profit & Loss / Income Expenditure Account. CA Certificate stating the above figures with UDIN
3.	Consolidated Training Figures at least 10000 Man-days/Participants during the last 3 Financial Years (FY 2022-23, 23-24 and 24-25). (Maximum Marks 10)	Submit a CA Certificate stating the figures with UDIN
4.	Experience (Maximum Marks 15) Experience of working in Uttar Pradesh in the field of Training & Capacity Building is added advantage. (5 marks) Applicant Agency having experience of working in multiple states in the training management field will have an added advantage. (5 marks) Applicant agencies having experience in training management through community participation will have an added advantage. (5 marks)	Contract Agreement / Completion Certificate from the Principal Agency for whom work executed.
5.	The Organization shall have successfully provided services to at least 01 projects of similar nature related to capacity building/training) during the last 03 years to Central/State Govt. (one mark for each project maximum marks five)	
6.	Manpower Requirement (Maximum Marks 15) Technical Human Resources A. Experts 1. Social Sector Expert (PG plus 5 years' experience in the Development Sector with two years of experience in Training & Capacity Building. 2. IT expert- PGDCA (same as above) B. Trainers Resource Persons (at least 20) – Graduate/Post Graduate with three years' experience in imparting Training	For Experts Attached CVs with consent letter (along with copy of self-attested degree/s and relevant experience certificate/s). 5 marks for more than 4 experts For Trainers No of resource persons from 20 to 30 - 5 marks More than 30 Resource Persons - 10 marks (Details to be given in Annexure 6)

7.	Technic	al Presentation will be of maximum
	40 marl	KS.
	i.	Organization Profile/ Background
	ii.	Understanding Context
	iii.	Mobilization and Training Plan
	iv.	Methodology.
	v.	Output
Total Marks: - 100		

The applicant agency or agencies scoring 70 marks or above shall be qualified for empanelment.

6. Financial Margin and Ranking Criteria for Award of Work

1. Financial Proposal Submission:

Financial proposals will be invited only from agencies that have been empanelled based on the technical evaluation.

2. Ranking of Financial Bids:

Financial bids received from empanelled agencies for individual assignments shall be ranked as:

- 2.1. L1: Lowest financial bid
- 2.2. L2: Second lowest financial bid
- 2.3. L3: Third lowest financial bid

3. Margin Criteria for Consideration:

To ensure fair and competitive allocation of work, the following margins relative to the L1 bid shall apply:

- 3.1. L2 bids must be within 10% of the L1 bid to be considered for award or negotiation.
- 3.2. L3 bids must be within 15% of the L1 bid to be eligible for consideration.

4. Negotiation and Award Process:

- 4.1. If L2 or L3 bids fall within the prescribed financial margins, UP State Agro Industrial Corporation Limited reserves the right to negotiate with such agencies to match or approach the L1 bid price.
- 4.2. Agencies quoting beyond the specified margins will generally not be considered for the award, except under exceptional circumstances justified and approved by UP State Agro Industrial Corporation Limited.

5. Additional Factors in Awarding Work:

Besides the financial competitiveness within the above margin criteria, UP State Agro shall also consider:

- 5.1. The technical capability and past performance of the agency.
- 5.2. Experience in relevant geographic or thematic areas.
- 5.3. Capacity to meet timelines and quality requirements.

7. GENERAL TERMS AND CONDITIONS (As per the requirement of the UPSAICL)

- **7.1 Agreement Period:** The Agreement from the concern department shall be for one year from the date of the award of the contract which is further extended based on satisfactory work.
- **7.2** Allocation of work post empanelment: The allocation of work post empanelment among all the empanelled agencies will be made by the UPSAICL on the basis of the requirement and capability of the Agency to provide services in the State.
- 7.3 The Agency which are empanelled by UPSAICL under this EoI notice for the UPSAICL as a result of this empanelment process is not allowed to use the name of

- UPSAICL, its logo, service marks or any document for any purpose without the prior written approval of UPSAICL.
- **7.4** No contractual obligation whatsoever shall arise from this Expression of Interest (EOI) process unless and until a formal Agreement is signed and executed by duly authorized official(s) of the UPSAICL with the selected Agency.
- **7.5 Blacklisting/debarring:** UPSAICL reserves the right to cancel the empanelment letter issued and debar the Agency if it is discovered that the Agency had produced any false information, on continued delivery of unsatisfactory services, insolvency of the company or any other ethical ground as deemed fit by giving a 7 days prior written notice.
- **7.6 Presentation:** As a part of evaluation of proposals submitted by the applicants, UPSAICL reserves the right to seek further information or a presentation from the Organizations for evaluation purposes. UPSAICL may call for such information/presentation at a short notice.
- 7.7 Amendments to EOI: At any time prior to the last date for receipt of applications, UPSAICL may for any reason, whether at its own initiative or in response to a clarification requested by a prospective applicant, modify the EOI document by an amendment. In order to provide prospective applicants reasonable time in which to take the amendment into account in preparing their proposals, UPSAICL may at its discretion extend the last date for the receipt of proposals and/or make other changes in the requirements set out in the EOI. Any such amendment shall be communicated to the Agencies.
- **7.8 Pre-proposal queries:** Any interested agency can seek clarification pertaining to the EOI, raised the matter of concern before the **15 October 2025** through email.
- **7.9 Conflict of Interest:** UPSAICL requires that the Agency provides professional, objective, and impartial analysis and data, and at all times hold the UPSAICL interest's paramount, strictly avoid conflicts with other Assignment/jobs or their own interests and act without any consideration for future work.

7.10 Termination of Agreement

7.10.1 Termination for Default

The office of the Managing Director, UPSAICL reserves the right to terminate / short close the Agreement, without prejudice to any other remedy for breach of Agreement, by giving 15 days' notice if the agency fails to perform any obligation(s) under the contract and if agency, does not cure their failure within a period of 7 days (or such longer period as the UPSAICL may authorize in writing) after receipt of the default notice from the UPSAICL.

7.10.2 Termination for Insolvency

The office of the Managing Director, UPSAICL may at any time terminate the Agreement by giving written notice without compensation to the agency, if the agency becomes bankrupt or otherwise insolvent, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the office of the Managing Director.

7.10.3 Termination for Convenience

The office of the Managing Director, may by written notice sent to the agency, terminate the Agreement, in whole or part, at any time for its convenience. However, the payment shall be released (on a pro-rata basis plus adjustment of penalties if any) to the extent to which performance of work is executed as determined by the agency till the date upon which such termination becomes effective.

7.11 Compliance & Ethics:

The office of the Managing Director, UPSAICL expects the Agency to show the highest ethical standards during the course of the assignment. Any action related to bribery/corrupt practices shall be considered as breach and shall lead to the termination of the agreement by the UPSAICL.

7.12 Intellectual Property Rights:

The office of the Managing Director, UPSAICL will own all the intellectual property resulting out of services being performed under this Agreement.

The Agency shall keep them confidential and return the same post the closure of the project. Such material shall not be provided by the Agency or any or its employees or contractors to any other party unless otherwise approved in writing by the office of the Managing Director, UPSAICL.

The personal data of the participants will also not be shared. (This clause is governed by The Data protection Laws applicable in India)

7.13 Language:

The Proposal should be filled by the applicant agencies in English language only. If any supporting documents submitted are in any language other than English, translation of the same in English language is to be duly attested by the Applicant Agency. For purposes of interpretation of the documents, the English translation shall govern. All correspondence and documents relating to the Proposal exchanged by the Applicant Agency and UPSAICL shall also be written in the English language.

7.14 7.14 Any attempt to influence the evaluation process/empanelment of UPSAICL process through canvassing, lobbying, or other means will result in disqualification.

7.15 Rejection of Proposal: The proposal is liable to be rejected if:

- a. Not in prescribed forms and not containing all required details.
- b. Not properly signed with seal as per requirements.
- c. Missing of any supporting document(s) with the Proposal.

7.16 Disclaimer

The UPSAICL reserves the right

1. To reject any/all applications without assigning any reasons thereof.

- 2. To relax or waive any of the conditions stipulated in this document as deemed necessary in the best interest of the UPSAICL without assigning any reasons thereof.
- 3. To terminate or cancel the empanelment process at any time without assigning any reason.
- Written Undertaking: The office of the Managing Director, UPSAICL may at any time require the Agency and its employees/advisors/professionals to whom confidential information may be disclosed in the course of execution of Agreement, to give a written undertaking in the form of a deed reasonably accepted to the office of the Managing Director, UPSAICL and relating to the use and non-disclosure of the confidential information relating to the project or any Government Department or relating to any Ministry and or such other information that the office of the Managing Director, UPSAICL suggests to be confidential. Upon receiving a request aforesaid the Agency must promptly arrange for all such undertakings to be given to the UPSAICL.
- Force Majeure: Neither party shall be held responsible for non-fulfilment of their respective obligations due to the exigency of one or more of the force majeure events such as but not limited to Acts of God, war, flood, earthquakes, strike, lockouts, epidemics, pandemics, riots, civil commotion etc., provided on the occurrence and cessation of any such events. The affected party thereby shall give a notice in writing to the other party within one week of such occurrence or cessation. If the force majeure conditions continue beyond six months the parties shall then mutually decide about the future course of action.
- Indemnity & Liability: The Agency undertakes to indemnify the UPSAICL from and any losses that UPSAICL may incur due to any deficiency in services rendered by the Agency or any instance of corruption or improper payment.
- Maintenance of Confidentiality: The Agency must not divulge any confidential information and ensure that reasonable steps are taken to provide for the safe custody of any and confidential information in its possession and to prevent unauthorized access thereto or use thereof. The Agency must not, without the prior written consent of the UPSAICL, disclose any confidential information of UPSAICL or any government department or relating to any ministry or any other party. In giving written consent to the disclosure of confidential information, the UPSAICL may impose such conditions as it thinks fit, and the agency must comply with these conditions.
- **Removal of Data:** The Agency must ensure that its employees/ professionals/ personnel do not:
 - a. Remove any data or allow any Data concerned with this Agreement to be removed from the places as notified / directed by UPSAICL; or
 - b. Take any Data or allow any Data to be taken outside of India, without the UPSAICL prior written consent.

7.17 Dispute Resolution & Governing Law

• Arbitration Clause: Any dispute or difference whatsoever arising between the parties will be governed under the Arbitration and conciliation Act 1996 and shall be settled Lucknow Only. The language used for dispute resolution shall be English.

• Governing Law & Jurisdiction: The agreement is governed by Indian Law, with courts at Bhopal having exclusive jurisdiction for non-arbitral matters.

8. SUBMISSION OF PROPOSAL

The technical proposal must contain in the following:

- 5.1. All the necessary supporting documents mentioned in the pre-qualification criteria
- 5.2. Covering letter with the Proposal in response to EOI Notice
- 5.3. Relevant Project Experience
- 5.4. Details of the Applicant Agency.
- 5.5. Detailed profile of the expert either on-role or empanelled with the organization through a Agreement, with relevant experience in the Scope of work as mentioned above who are proposed to be deployed for the project including details of their educational qualification, experience and skill set.
- 5.6. Any other details that the applicant agency may like to provide.

Submission Guidelines:

- The covering letter for the EoI proposal should be submitted in the format prescribed.
- All the pages of the proposal must be sequentially numbered and must contain the list of contents with page numbers. Any deficiency in the documentation may result in the rejection of the proposal.
- All pages of the proposal shall be signed and stamped by the authorized signatory before uploading.
- Please Note that Prices must not be indicated anywhere in the technical proposal.

Interested agencies may submit the technical proposal latest by 31 October, 2025, 15:00 Hrs.

Submit application to:

Managing Director, UP State Agro Industrial Corporation Limited 22, VIDHAN SABHA MARG, VIDHAN SABHA MARG, Lucknow, Uttar Pradesh, 226001

Email: upagrohq@gmail.com

Envelope/email subject line must state:

"EOI for Empanelment of Agencies/Societies/NGOs for Training – UPSAICL"

6. Contact for Clarification

Akhilesh Pratap Singh CAO&FA

Email: upagrohq@gmail.com